| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))   |                                   |                  |               |        | Docket No.<br>CIRC.005C   |  |  |  |
|---|-----------------------------------|------------------|---------------|--------|---------------------------|--|--|--|
| In Re Application Of: John Sargent French et al.  |                                   |                  |               |        |                           |  |  |  |
| Serial No.  | Serial No. Filing Date 😽 Examiner |                  |               |        |                           |  |  |  |
| 10/613,299  | July 2, 2003                      | MARIN CONTRACTOR | Unknown       |        | Group Art Unit<br>Unknown |  |  |  |
|   |                                   |                  |               |        |                           |  |  |  |
|   | YSTEM AND METHOD FOR DE           | ETERMININ        | G THE SENSITI | VITY ( | OF                        |  |  |  |
| OPTICAL COMPONEN  | TS                                |                  |               |        |                           |  |  |  |
|   |                                   |                  |               |        | :                         |  |  |  |
| Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450   |                                   |                  |               |        |                           |  |  |  |
|   | 37 (                              | CFR 1.97(b)      | •             |        |                           |  |  |  |
| 1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                                   |                  |               |        |                           |  |  |  |
|   | 37 CFR 1.97(c)                    |                  |               |        |                           |  |  |  |
| 2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:   |                                   |                  |               |        |                           |  |  |  |
| ☐ the statement specified in 37 CFR 1.97(e);  |                                   |                  |               |        |                           |  |  |  |
| OR  |                                   |                  |               |        |                           |  |  |  |
| ☐ the fee se  | et forth in 37 CFR 1.17(p).       |                  |               |        |                           |  |  |  |
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| TRANSMITTAL OI   | Docket No.<br>CIRC.005C              |                                      |              |  |  |  |  |
|--|--------------------------------------|--------------------------------------|--------------|--|--|--|--|
| In Re Application: John Sargent French et al.  |                                      |                                      |              |  |  |  |  |
| Serial No.   | Serial No. Filing Date Examiner Grou |                                      |              |  |  |  |  |
| 10/613,299   |                                      |                                      |              |  |  |  |  |
| AUTOMATED SYSTEM AND METHOD FOR DETERMINING THE SENSITIVITY OF OPTICAL COMPONENTS  |                                      |                                      |              |  |  |  |  |
| Payment of Fee   |                                      |                                      |              |  |  |  |  |
|  | (Only complete if Applicant ele      | cts to pay the fee set forth in 37 C | CFR 1.17(p)) |  |  |  |  |
| The Director is hereby authorized to charge and credit Deposit Account No. 50-0238  as described below.  Charge the amount of  Credit any overpayment.  Charge any additional fee required.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States  Patent and Trademark Office (F:   Signature  Signature  Signature  Signature  Signature  Signature  Certificate of Mailing by First Class  I certify that this document and fee is being on March 1, 2004 with the U.S. Posta as first class mail under 37 C.F.R. 1.6 addressed to the Commissioner for Patents, 1450, Alexandria, VA 22313-1450.  Signature of Person Mailing Correspondents  Michelle Welgoss |                                      |                                      |              |  |  |  |  |
| Typed or Printed Name of Person Signing Certificate  Typed or Printed Name of Person Mailing Certificate  Typed or Printed Name of Person Mailing Certificate  |                                      |                                      |              |  |  |  |  |
| (VIII 5.   | , P.L.L.C.                           | Dated: March 1, 2004                 |              |  |  |  |  |
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| IPE   |                     |   |                       | Docket Number (Optional) CIRC.005C      |                             | Application Number 10/613,299 |                     |                               |             |
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|   |                     |   |                       | Applicant(s) John Sargent French et al. |                             |                               |                     |                               |             |
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)  MAR 0 3 2004 |                     |   |                       | Filing Date Group Art Unit              |                             |                               |                     |                               |             |
|   |                     |   |                       | July 2, 2003                            |                             | Un                            | known               |                               |             |
| E TEAR  | E SALE              | 7   | <del></del>           | U.S. PAT                                | ENT DOCUMENTS               |                               |                     |                               |             |
| EXAMILIAN<br>INITIAL  | REF                 | DOCUMENT NUMBER   | DATE                  | NAME                                    |                             | CLASS                         | SUBCLASS            | FILING DATE<br>IF APPROPRIATE |             |
|   |                     | 5,652,668   | 7/29/97               | Aulet et al.                            |                             | 359 110                       |                     |                               |             |
|   |                     | 5,566,088   | 10/15/96              | Herscher et al.                         |                             | 364 514 B                     |                     |                               |             |
|   |                     | 5,841,667   | 11/24/98              | Martin                                  |                             | 364 551.01                    |                     |                               |             |
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|   |                     |   |                       |   |                             |                               |                     |                               |             |
|   |                     |   |                       | FOREIG                                  | N PATENT DOCUMENTS          |                               |                     |                               |             |
|   | REF                 | DOCUMENT NUMBER   | DATE                  | COUNTRY                                 |                             | CLASS                         | SUBCLASS            | Trans!:<br>YES                | ntion<br>NO |
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|   |                     |   |                       |   |                             |                               |                     |                               |             |
|   |                     |   |                       |   |                             |                               |                     |                               |             |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)          |                     |   |                       |   |                             |                               |                     |                               |             |
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| EXAMINER DATE CONSIDERED  |                     |   |                       |   |                             |                               |                     |                               |             |
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(also form PTO-1449)